



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles L. Dennis

Serial No.: 08/997,489

Filed: December 23, 1997

Art Unit: 2745

Examiner: Unknown

For: SYSTEM AND METHOD FOR CONTROLLING FINANCIAL  
TRANSACTIONS OVER A WIRELESS NETWORKAssistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty, under 37 C.F.R. §§ 1.56, 1.97 and 1.98, to disclose all relevant material, the Applicant lists below the following references believed to be relevant to the subject matter of the above-identified application:

FOREIGN PATENT DOCUMENTS

	<u>Serial/Patent No.</u>	<u>Publication/Issue Date</u>	<u>Applicant</u>
1.	EP 0 708 547 A2	April 24, 1996	AT&T Corp.
2.	EP 0 848 360 A1	June 17, 1998	British Telecommunications public limited company
3.	WO 96/13814	May 9, 1996	Behruz Vazvan
4.	WO 97/45814	December 4, 1997	Behruz Vazvan
5.	WO 98/34203	August 6, 1998	Qualcomm Incorporated

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**OTHER DOCUMENT**

## 1. International Search Report PCT/US98/26786

Applicant respectfully submits that the invention in the above-identified application is patentably distinguishable over the cited references known to Applicant and disclosed in the above Information Disclosure Statement.

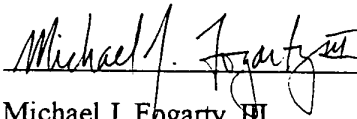
This Information Disclosure Statement is being filed within three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Accompanying this transmittal is a Statement as specified in 37 C.F.R. 1.97(e).

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.  
Attorneys for Applicant

Date: June 17, 1999



Michael J. Fogarty, III

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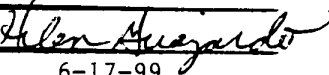
Tel. No. (214) 855-8172

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Helen Guajardo

6-17-99

Signature



Name

6-17-99

Date of Signature



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Dear Sir:

## STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. 1.97(e)

I, the undersigned, hereby state:

That each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

The person making this statement is the practitioner who signs below on the basis of the information in the practitioner's file.

SIGNATURE OF PRACTITIONER

Reg. No.: 42,541

Michael J. Fogarty, III

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Date: June 17, 1999

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